

## 99-1 Preliminary Syllabus, Da-Yeh Univ

Information			
Title	金融法規	Serial No. / ID	2693 / FBM4014
Dept.	財務金融學系	School System / Class	大學日間部4年1班
Lecturer	陳怡萍	Full or Part-time	專任
Required / Credit	Optinal / 3	Graduate Class	Yes
Time / Place	(一)56 / B207 (二)9 / B207	Language	Chinese

Introduction
This course include securities offering,issuing. It also introduces securities dealers and Stock Exchange.Besides I will teach some behaviors that students must know in case to break the laws.

Outline
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1 Introduction of the course
2 Review Company Act(1)
3 General principles of Securities and exchange Act(1)
4 General principles of Securities and exchange Act(2)
5 offering and issuing of the securities
6 securities underwriting
7 the board of directors and proxy
8 public tender offer
9 midterm
10 securities dealers
11 securities brokers
12 Sstock Exchange
13 listing of securities
14 trading of securities
15 insider trading
16 liability
17 case study
18 final exam

Prerequisite
none