

# 101-2 Preliminary Syllabus, Da-Yeh Univ

Information			
Title	證券暨金融法規	Serial No. / ID	1181 / FBM2020
Dept.	財務金融學系	School System / Class	大學日間部2年1班
Lecturer	陳怡萍	Full or Part-time	專任
Required / Credit	Optinal / 3	Graduate Class	No
Time / Place	(一)789 / B202	Language	Chinese

Introduction
<p>This course includes Corporate law and Securities and Exchange Act. Corporate law includes general principle of corporations, shareholders ' meeting and the board of directors. Securities and Exchange Act will emphasize on securities offering,issuing. It also introduces securities dealers and Stock Exchange.Besides I will teach some behaviors that students must know in case to break the laws.</p>

Outline
<p>weeek syllabus</p> <ol style="list-style-type: none"> <li>1 Introduction of the course</li> <li>2 Review Company Act(1)</li> <li>3 General principles of Securities and exchange Act(1)</li> <li>4 General principles of Securities and exchange Act(2)</li> <li>5 offering and issuing of the securities</li> <li>6 securities underwriting</li> <li>7 the board of directors and proxy</li> <li>8 public tender offer</li> <li>9 midterm</li> <li>10 securities dealers</li> <li>11 securities brokers</li> <li>12 Sstock Exchange</li> <li>13 listing of securities</li> <li>14 trading of securities</li> <li>15 insider trading</li> <li>16 liability</li> <li>17 case study</li> <li>18 final exam</li> </ol>

Prerequisite
none