

100-1 Preliminary Syllabus, Da-Yeh Univ

| Information | | | |
|-------------------|---------------|-----------------------|----------------|
| Title | 證券交易法 | Serial No. / ID | 2471 / FBM2010 |
| Dept. | 財務金融學系 | School System / Class | 大學日間部3年1班 |
| Lecturer | 陳怡萍 | Full or Part-time | 專任 |
| Required / Credit | Optinal / 3 | Graduate Class | No |
| Time / Place | (一)567 / B201 | Language | Chinese |

| Introduction |
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| This course includes securities offering, issuing. It also introduces securities dealers and Stock Exchange. Besides, I will teach some behaviors that students must know in case to break the laws. |

| Outline |
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| weeek syllabus |
| 1 Introduction of the course |
| 2 Review Company Act(1) |
| 3 General principles of Securities and exchange Act(1) |
| 4 General principles of Securities and exchange Act(2) |
| 5 offering and issuing of the securities |
| 6 securities underwriting |
| 7 the board of directors and proxy |
| 8 public tender offer |
| 9 midterm |
| 10 securities dealers |
| 11 securities brokers |
| 12 Sstock Exchange |
| 13 listing of securities |
| 14 trading of securities |
| 15 insider trading |
| 16 liability |
| 17 case study |
| 18 final exam |

| Prerequisite |
|--------------|
| none |